



#3

2161

Docket No. AAA-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William K. Slate II et al.
Application No.: 09/991,223 Confirmation No.: 3940
Filed : November 21, 2001
For : ELECTRONIC SYSTEMS AND METHODS FOR
DISPUTE MANAGEMENT
Group Art Unit : 2161
Examiner : Not yet assigned

New York, New York
January 28, 2002

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

RECEIVED

FEB 05 2002

Technology Center 2100

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

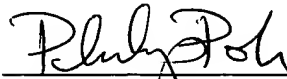
Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

- ☒ [X] within three months of the application filing
date;
- ☐ [] more than three months from the application
filing date but before the mailing date of
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account

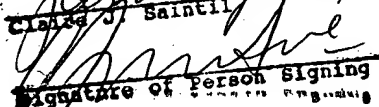
No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Philip R. Poh
Registration No. P-51,176
Agent for Applicants
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I Hereby Certify that this
Correspondence is being
Deposited with the U.S.
Postal Service as First
Class Mail in an Envelope
Addressed to: ASSISTANT
COMMISSIONER FOR
PATENTS, 2327
BRIDGE ST., NEW YORK, NY 10021 on

January 28, 2002
Charles J. Saintil

Signature of Person Signing



Docket No. AAA-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William K. Slate II et al.
Application No.: 09/991,223 Confirmation No.: 3940
Filed : November 21, 2001
For : ELECTRONIC SYSTEMS AND METHODS FOR
DISPUTE MANAGEMENT
Group Art Unit : 2161
Examiner : Not yet assigned

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

RECEIVED
FEB 05 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following documents:

U.S. Patents

Burchetta et al.	6,330,551	12/11/01
Sloo	5,895,450	04/20/99
Sloo	5,668,953	09/16/97
Thiessen	5,495,412	02/27/96

Foreign Patents

PCT	WO 00/68865	11/16/00
-----	-------------	----------

Other Documents

V.W. Mitchell, "Handling Consumer Complaint Information: Why and How?," Logistics Information Management, Vol. 6, No. 3, 1993, pp. 20-26.

"Get it Straight From the Consumer," Success, Vol. 40, No. 8, October 1993, p. 41.

These documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



Philip R. Poh
Registration No. P-51,176
Agent for Applicants
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

I Hereby Certify that this
Correspondence is being
Deposited with the U.S.
Postal Service as First
Class Mail in an Envelope
Addressed to: ASSISTANT
COMMISSIONER FOR
PATENTS, P.O. Box 2327

ARLINGTON, VA 22202 on

January 28, 2002
Claire J. Sainvil

Signature of Person Signing

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
AAA-002SERIAL NO.
09/991,223INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William K. Slate II et al.CONFIRMATION
NO. 3940FILING DATE
November 21, 2001GROUP
2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,330,551	12/11/01	Burchetta et al.			
	5,895,450	04/20/99	Sloo			
	5,668,953	09/16/97	Sloo			
	5,495,412	02/27/96	Thiessen			

RECEIVED
FEB 05 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/68865	11/16/00	PCT	G06F	19/00		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	V.W. Mitchell, "Handling Consumer Complaint Information: Why and How?," Logistics Information Management, Vol. 6, No. 3, 1993, pp. 20-26.
	"Get it Straight From the Consumer," Success, Vol. 40, No. 8, October 1993, p. 41.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.